Introduction
Dependency syntax postulates that syntactic structure consists of lexical items linked by binary asymmetric relations ("arrows") called dependencies.

The arrows are commonly typed with the name of grammatical relations (subject, prepositional object, apposition, etc.).
Dependency syntax postulates that syntactic structure consists of lexical items linked by binary asymmetric relations ("arrows") called dependencies.

The arrow connects a head (governor, superior, regent) with a dependent (modifier, inferior, subordinate).

Usually, dependencies form a tree (connected, acyclic, single-head).
Relation between phrase structure and dependency structure

- A dependency grammar has a notion of a head. Officially, CFGs don’t.
- But modern linguistic theory and all modern statistical parsers (Charniak, Collins, Stanford, ...) do, via hand-written phrasal “head rules”:
  - The head of a Noun Phrase is a noun/number/adj/...
  - The head of a Verb Phrase is a verb/modal/....
- The head rules can be used to extract a dependency parse from a CFG parse

- The closure of dependencies give constituency from a dependency tree
- But the dependents of a word must be at the same level (i.e., “flat”) – there can be no VP!
Methods of Dependency Parsing

1. **Dynamic programming (like in the CKY algorithm)**
   - You can do it similarly to lexicalized PCFG parsing: an $O(n^5)$ algorithm
   - Eisner (1996) gives a clever algorithm that reduces the complexity to $O(n^3)$, by producing parse items with heads at the ends rather than in the middle

2. **Graph algorithms**
   - You create a Maximum Spanning Tree for a sentence
   - McDonald et al.’s (2005) MSTParser scores dependencies independently using a ML classifier (he uses MIRA, for online learning, but it could be MaxEnt)

3. **Constraint Satisfaction**
   - Edges are eliminated that don’t satisfy hard constraints. Karlsson (1990), etc.

4. **“Deterministic parsing”**
   - Greedy choice of attachments guided by machine learning classifiers
   - MaltParser (Nivre et al. 2008) – discussed in the next segment
Dependency Conditioning Preferences

What are the sources of information for dependency parsing?

1. Bilexical affinities  
   [issues → the] is plausible
2. Dependency distance  
   mostly with nearby words
3. Intervening material  
   Dependencies rarely span intervening verbs or punctuation
4. Valency of heads  
   How many dependents on which side are usual for a head?

ROOT Discussion of the outstanding issues was completed.
Quiz question!

• Consider this sentence:
  Retail sales drop in April cools afternoon market trading.

• Which word are these words a dependent of?
  1. sales
  2. April
  3. afternoon
  4. trading
Dependency Parsing

Introduction
Greedy Transition-Based Parsing

MaltParser
MaltParser
[Nivre et al. 2008]

- A simple form of greedy discriminative dependency parser
- The parser does a sequence of bottom up actions
  - Roughly like “shift” or “reduce” in a shift-reduce parser, but the “reduce” actions are specialized to create dependencies with head on left or right
- The parser has:
  - a stack $\sigma$, written with top to the right
    - which starts with the ROOT symbol
  - a buffer $\beta$, written with top to the left
    - which starts with the input sentence
  - a set of dependency arcs $A$
    - which starts off empty
  - a set of actions
Basic transition-based dependency parser

Start: \( \sigma = [\text{ROOT}], \beta = w_1, ..., w_n, A = \emptyset \)

1. Shift \( \sigma, w_i|\beta, A \Rightarrow \sigma|w_i, \beta, A \)
2. Left-Arc\(_r\) \( \sigma|w_i, w_j|\beta, A \Rightarrow \sigma, w_j|\beta, A \cup \{r(w_j,w_i)\} \)
3. Right-Arc\(_r\) \( \sigma|w_i, w_j|\beta, A \Rightarrow \sigma, w_i|\beta, A \cup \{r(w_i,w_j)\} \)

Finish: \( \beta = \emptyset \)

Notes:
- Unlike the regular presentation of the CFG reduce step, dependencies combine one thing from each of stack and buffer
Actions ("arc-eager" dependency parser)

Start: $\sigma = [\text{ROOT}], \beta = w_1, \ldots, w_n, A = \emptyset$

1. Left-Arc$_r$ $\sigma \mid w_i, w_j \mid \beta, A \Rightarrow \sigma, w_j \mid \beta, A \cup \{r(w_j, w_i)\}$
   Precondition: $r'(w_k, w_i) \notin A, w_i \neq \text{ROOT}$

2. Right-Arc$_r$ $\sigma \mid w_i, w_j \mid \beta, A \Rightarrow \sigma \mid w_i \mid w_j, \beta, A \cup \{r(w_i, w_j)\}$

3. Reduce $\sigma \mid w_i, \beta, A \Rightarrow \sigma, \beta, A$
   Precondition: $r'(w_k, w_i) \in A$

4. Shift $\sigma, w_i \mid \beta, A \Rightarrow \sigma \mid w_i, \beta, A$

Finish: $\beta = \emptyset$

This is the common "arc-eager" variant: a head can immediately take a right dependent, before its dependents are found.
Example

Happy children like to play with their friends.

1. Left-Arc \(_{r}\) \(\sigma | w_i, w_j | \beta, A \rightarrow \sigma, w_j | \beta, A \cup \{r(w_j,w_i)\}\)
   Precondition: \((w_k, r', w_i) \notin A, w_i \neq \text{ROOT}\)
2. Right-Arc \(_{r}\) \(\sigma | w_i, w_j | \beta, A \rightarrow \sigma | w_i | w_j, \beta, A \cup \{r(w_i,w_j)\}\)
3. Reduce \(\sigma | w_i, \beta, A \rightarrow \sigma, \beta, A\)
   Precondition: \((w_k, r', w_i) \subseteq A\)
4. Shift \(\sigma, w_i | \beta, A \rightarrow \sigma | w_i, \beta, A\)

\[
\begin{align*}
\text{Shift} & \quad \text{[ROOT]} & \quad \text{[Happy, children, ...]} & \quad \emptyset \\
\text{LA}_{amod} & \quad \text{[ROOT]} & \quad \text{[children, like, ...]} & \quad \{\text{amod(children, happy)}\} = A_1 \\
\text{Shift} & \quad \text{[ROOT, children]} & \quad \text{[like, to, ...]} & \quad A_1 \\
\text{LA}_{nsubj} & \quad \text{[ROOT]} & \quad \text{[like, to, ...]} & \quad A_1 \cup \{\text{nsubj(like, children)}\} = A_2 \\
\text{RA}_{root} & \quad \text{[ROOT, like]} & \quad \text{[to, play, ...]} & \quad A_2 \cup \{\text{root(ROOT, like)}\} = A_3 \\
\text{Shift} & \quad \text{[ROOT, like, to]} & \quad \text{[play, with, ...]} & \quad A_3 \\
\text{LA}_{aux} & \quad \text{[ROOT, like]} & \quad \text{[play, with, ...]} & \quad A_3 \cup \{\text{aux(play, to)}\} = A_4 \\
\text{RA}_{xcomp} & \quad \text{[ROOT, like, play]} & \quad \text{[with their, ...]} & \quad A_4 \cup \{\text{xcomp(like, play)}\} = A_5
\end{align*}
\]
Example

*Happy children like to play with their friends.*

1. **Left-Arc** \( r \) \( \sigma \mid w_i, w_j \mid \beta, A \Rightarrow \sigma, w_j \mid \beta, A \cup \{r(w_j, w_i)\} \)
   Precondition: \((w_k, r', w_i) \notin A, w_i \neq \text{ROOT}\)

2. **Right-Arc** \( r \) \( \sigma \mid w_i, w_j \mid \beta, A \Rightarrow \sigma \mid w_i, w_j, \beta, A \cup \{r(w_i, w_j)\} \)

3. **Reduce** \( \sigma \mid w_i, \beta, A \Rightarrow \sigma, \beta, A \)
   Precondition: \((w_k, r', w_i) \in A\)

4. **Shift** \( \sigma, w_i \mid \beta, A \Rightarrow \sigma \mid w_i, \beta, A \)

---

You terminate as soon as the buffer is empty. Dependencies = \( A_9 \)
MaltParser
[Nivre et al. 2008]

- We have left to explain how we choose the next action
- Each action is predicted by a discriminative classifier (often SVM, could be maxent classifier) over each legal move
  - Max of 4 untyped choices, max of $|R| \times 2 + 2$ when typed
  - Features: top of stack word, POS; first in buffer word, POS; etc.
- There is NO search (in the simplest and usual form)
  - But you could do some kind of beam search if you wish
- The model’s accuracy is *slightly* below the best LPCFGs (evaluated on dependencies), but
- It provides close to state of the art parsing performance
- It provides *VERY* fast linear time parsing
Evaluation of Dependency Parsing: (labeled) dependency accuracy

Gold
1 2 She nsubj
2 0 saw root
3 5 the det
4 5 video nn
5 2 lecture dobj

Parsed
1 2 She nsubj
2 0 saw root
3 4 the det
4 5 video nsubj
5 2 lecture ccomp

Acc = \frac{\# \text{ correct deps}}{\# \text{ of deps}}

UAS = \frac{4}{5} = 80\%
LAS = \frac{2}{5} = 40\%
Representative performance numbers

- The CoNLL-X (2006) shared task provides evaluation numbers for various dependency parsing approaches over 13 languages
  - MALT: LAS scores from 65–92%, depending greatly on language/treebank
- Here we give a few UAS numbers for English to allow some comparison to constituency parsing

<table>
<thead>
<tr>
<th>Parser</th>
<th>UAS%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sagae and Lavie (2006) ensemble of dependency parsers</td>
<td>92.7</td>
</tr>
<tr>
<td>Charniak (2000) generative, constituency</td>
<td>92.2</td>
</tr>
<tr>
<td>Collins (1999) generative, constituency</td>
<td>91.7</td>
</tr>
<tr>
<td>McDonald and Pereira (2005) – MST graph-based dependency</td>
<td>91.5</td>
</tr>
<tr>
<td>Yamada and Matsumoto (2003) – transition-based dependency</td>
<td>90.4</td>
</tr>
</tbody>
</table>
Projectivity

- Dependencies from a CFG tree using heads, must be *projective*
  - There must not be any crossing dependency arcs when the words are laid out in their linear order, with all arcs above the words.
- But dependency theory normally does allow non-projective structures to account for displaced constituents
  - You can’t easily get the semantics of certain constructions right without these nonprojective dependencies

Who did Bill buy the coffee from yesterday?
Handling non-projectivity

- The arc-eager algorithm we presented only builds projective dependency trees
- Possible directions to head:
  1. Just declare defeat on nonprojective arcs
  2. Use a dependency formalism which only admits projective representations (a CFG doesn’t represent such structures...)
  3. Use a postprocessor to a projective dependency parsing algorithm to identify and resolve nonprojective links
  4. Add extra types of transitions that can model at least most non-projective structures
  5. Move to a parsing mechanism that does not use or require any constraints on projectivity (e.g., the graph-based MSTParser)
Greedy Transition-Based Parsing

MaltParser
Dependencies
encode relational structure

Relation Extraction with Stanford Dependencies
Dependency paths identify relations like protein interaction

[Erkan et al. EMNLP 07, Fundel et al. 2007]

KaiC \text{nsubj} interacts \text{prep_with} \rightarrow \text{SasA}
KaiC \text{nsubj} interacts \text{prep_with} \rightarrow \text{SasA conj_and} \rightarrow \text{KaiA}
KaiC \text{nsubj} interacts \text{prep_with} \rightarrow \text{SasA conj_and} \rightarrow \text{KaiB}
Stanford Dependencies

[de Marneffe et al. LREC 2006]

• The basic dependency representation is projective
• It can be generated by postprocessing headed phrase structure parses (Penn Treebank syntax)
• It can also be generated directly by dependency parsers, such as MaltParser, or the Easy-First Parser
Graph modification to facilitate semantic analysis

Bell, based in LA, makes and distributes electronic and computer products.
Graph modification to facilitate semantic analysis

Bell, based in LA, makes and distributes electronic and computer products.
Dependencies
encode relational
structure

Relation Extraction
with Stanford Dependencies